### **CHAPTER 15**

#### **EXIT POLL**

#### **PART I: GENERAL**

- This chapter sets out the guidelines for the conduct, publication and broadcast of exit polls on the polling day. The EAC respects academic freedom and freedom of expression in conducting exit polls. However, the EAC also strives to conduct public elections under the principles of openness, fairness and honesty to avoid the voters/ARs being unduly influenced and interfered with, and to maintain the order outside the polling stations. As such, a fair balance between the two ends must be maintained. [Amended in October 2011 and July 2021]
- 15.2 Secrecy of the vote is an important principle under the electoral system. It is entirely voluntary for voters/ARs to participate in any exit polls and they are not required to disclose to the persons or organisations conducting the exit polls their voting preference unless they wish to do so. [Added in July 2021]
- Voting polls inside a polling station or an NSZ are strictly prohibited under the law. However, exit polls may be conducted outside the exit of the polling station within the NCZ if approval from the EAC is obtained [s 93(7) of the EAC (EP) (EC) Reg]. [Added in July 2021]
- Exit polls approved by the EAC are not for electioneering purpose in promoting or prejudicing the election of a candidate or candidates. Persons or organisations conducting exit polls must not be affiliated with the candidates. The persons or organisations have to ensure that the results of exit polls must not be announced or disclosed to any candidate and other persons before the close of

poll. The interviewers should make clear to the voters/ARs that participation in the exit poll is entirely voluntary. [Added in July 2021]

- To strictly regulate the exit polls, all applicants for the conduct of exit polls are required to make a statutory declaration to abide by the relevant terms and guidelines (see para. 15.12 below), in breach of which the approval may be revoked. If the person or organisation concerned deliberately makes false statutory declaration, they will be in breach of s 36 of the Crimes Ordinance and be sentenced to imprisonment for 2 years and to a fine. [Added in July 2021]
- 15.6 Except for exit polls conducted within the NCZ on the polling day mentioned in para. 15.3 above, election-related opinion polls conducted outside the NCZ or before the polling day are not regulated by the subsisting legislation. These polls do not fall within the scope of exit polls regulated by the EAC. [Added in July 2021]
- The EAC appeals to the media to act with self-discipline, goodwill and in a spirit of voluntary cooperation in publishing and broadcasting the results of exit polls and other election-related opinion polls by refraining from announcing the said results before the close of poll so that voters/ARs' voting behaviour will not be unduly affected. [Amended in October 2011 and July 2021]

# **PART II: SECRECY OF THE VOTE**

The ballot is secret. It is a voter's right to keep his/her vote secret. A voter/AR does not have to disclose his/her choice of candidate if he/she does not want to. It is a criminal offence for a person, without lawful authority, to require, or purport to require, a voter/AR to disclose the name of, or any particular relating to, the candidate for whom the voter/AR has voted at an

election [s 37 of the Schedule to the CEEO and s 93(7) of the EAC (EP) (EC) Reg]. Those who conduct exit polls must respect the voters/ARs' right and wish not to be disturbed. The interviewers should inform the voters/ARs being interviewed that their participation in the exit poll is voluntary prior to the conduct of exit poll. [Amended in October 2011]

Any announcement or disclosure of results of exit polls or predictions, particularly in relation to any individual candidate during the polling hours may affect voters/ARs' behaviour and have an impact on election results. The EAC, therefore, reminds the media and organisations concerned that they should not announce the results of exit polls or make specific remarks or predictions on the performance of individual candidate before the close of poll. Furthermore, interviewers of approved exit poll should not speak to or communicate with candidates or their agents when conducting the poll outside polling stations. [Amended in October 2011 and September 2016]

#### PART III: CONDUCT OF EXIT POLLS

- Any person or organisation may apply for conducting exit polls in respect of any subsector to the REO, which is appointed to handle such applications on behalf of the EAC. Individual applicants must reach the age of 18 as they are required to make a statutory declaration (see para. 15.12 below) and the consequences of failing to abide by the relevant terms and guidelines governing the conduct of exit polls are serious and may attract criminal liability. To forestall public perception of unfairness, approval would normally not be granted in one or more of the following circumstances:
  - (a) the applicant has publicly expressed support for any candidate(s) contesting in the election;

- (b) the applicant organisation has member(s) contesting in the election;
- (c) the person(s) responsible for the exit poll(s) or interviewers deployed for the poll(s) are currently members of the organisation(s) to which any of the candidates contesting in the election belong, or which have publicly expressed support for any candidate(s) contesting in the election.

[Added in September 2016 and amended in July 2021]

- 15.11 For security reasons, no exit poll may be conducted for dedicated polling stations. For the purpose of better control of the conduct of exit poll, persons or organisations intending to conduct exit polls must provide the following to the REO at **the latest 10 days before the polling day**:
  - (a) the name and address of the person or organisation intending to conduct an exit poll on the polling day;
  - (b) the identity document number and name of the person responsible together with his/her telephone number(s) for contact, especially during the polling hours; and
  - (c) a list showing the number of persons who will be deployed for the conduct of the exit poll at each polling station on the polling day together with the identity document number and name of each of all the persons who will be so deployed.

[Amended in January 2010, October 2011 and September 2016]

15.12 A person or an organisation applying for the conduct of exit poll must make a statutory declaration by virtue of the Oaths and Declarations Ordinance (Cap 11) to abide by the relevant terms and the guidelines governing the conduct of exit poll. On the receipt of the application, the REO will consider the application and issue approval to the person or organisation concerned as appropriate. If a person or an organisation fails to comply with the terms stipulated in the approval letter and the guidelines set out in this chapter, the approval to conduct exit poll on the polling day or during the polling hours may be revoked. The EAC may also make a reprimand or censure in a public statement which will include the name of the person or organisation who/which fails to comply with the terms stipulated in the approval letter and the guidelines. A notice showing the persons or organisations allowed to conduct exit poll and their contact telephone numbers will be released to the public prior to the polling day for the reference of the public and candidates. Such a notice will also be displayed at the respective polling stations.

# **IMPORTANT**:

Persons or organisations applying for the conduct of exit polls are not allowed to collect or retain any personal data relating to the identity of the voters (i.e. any data relating directly or indirectly to the voters/ARs, from which it is practicable for their identities to be directly or indirectly ascertained, such as names, HKID numbers, telephone numbers and addresses).

[Amended in October 2011, September 2016 and July 2021]

15.13 Exit polls are not allowed inside polling stations and the NSZ. Interviewers should note that canvassing activity is prohibited within the NCZ and is subject to criminal sanction, with the exception of door-to-door canvassing activities (in both residential premises and commercial premises such as

restaurants or shops) on any storey above or below street level (i.e. not including the storey at the street level) in buildings other than the one in which a polling station is located, provided that permission has been obtained for entry to the building for canvassing votes, and that obstruction is not caused to voters/ARs and no sound amplifying device is used. Interviewers must therefore be extremely careful in conducting exit poll so as not to give rise to any suspicion that they are canvassing voters/ARs inside the NCZ. Interviewers, like any other person, are not allowed to stay or loiter in the areas designated as the NSZ (within the NCZ but immediately outside the entrance/exit to each polling station). [S 41(1) of the EAC (EP) (EC) Reg] Interviewers are also not allowed to accost voters/ARs in the NSZ. All these measures are for the purpose of securing safe and smooth passage of voters/ARs into and out of polling stations. [Amended in September 2006, October 2011 and July 2021]

The PRO of a polling station may, if circumstances require, designate an area **outside the exit of the polling station** so that interviewers may only conduct exit poll within that area. As the entrance and exit of some polling stations are at the same location, interviewers conducting exit polls should keep a reasonable distance from the exit and ensure that voters/ARs entering the polling station will not be affected when the said exit polls are being conducted. [Added in July 2021]

# PART IV: IDENTIFICATION OF INTERVIEWERS

15.15 There had been occasions where exit poll interviewers were mistaken for government officials or polling staff. Such interviewers are therefore required to display prominently an identification device showing the identity of the person or organisation conducting exit poll so that voters/ARs will not be misled into thinking that they are appointed by the Government. In addition, the interviewers are required to make known to the voters/ARs that any

response is entirely voluntary. Arrangements should be made for voters/ARs to be aware of the name of the person or organisation conducting the exit poll at the start of the interview and the fact that the exit poll is not commissioned by the Government. [Amended in October 2011 and September 2016]

After receipt of the information referred to in para. 15.11 above, the REO will notify the person or organisation concerned to collect a number of identification devices bearing the name of the person or organisation that is required to be displayed prominently by each of the persons included in the list in para. 15.11(c) above when conducting an exit poll. Any person not displaying prominently such a device will not be allowed to conduct an exit poll outside any polling station. [Amended in October 2011]

# PART V: USE OF EXIT POLLS AND OTHER OPINION POLLS FOR ELECTIONEERING

- 15.17 As mentioned in para. 15.4, exit polls approved by the EAC are in all circumstances not for electioneering purpose. [Added in July 2021]
- 15.18 If a candidate makes use of the results of other opinion polls for the purpose of promoting himself/herself or prejudicing the election of other candidate(s), the expenses incurred for conducting the polls will be regarded as his/her election expenses. [Added in July 2021]
- 15.19 If persons other than candidates or the authorised election expense agents make use of the results of the exit polls or other opinion polls for the purpose of promoting or prejudicing the election of any candidate, they will commit the offence of incurring election expenses without being authorised as an election expense agent. [Added in July 2021]

# **PART VI: SANCTION**

Apart from the criminal sanction provided in the CEEO and the EAC (EP) (EC) Reg, if the EAC comes to know that any broadcaster or organisation has failed to heed or comply with the guidelines in this chapter, it may make a **reprimand** or **censure** in a public statement which will include the name of the broadcaster or the organisation concerned. [Amended in October 2011 and July 2021]